Pathways to open access
at UCL, Nottingham and Newcastle

Catherine Sharp
catherine.sharp@ucl.ac.uk
BACKGROUND

UCL

- 4,800 staff & postdocs
- 4,500 research students
- at least 9,000 research pubs p.a.

- open access fund
- 21K f/t in repository with 4m downloads
- 1,945 APCs 2013-14
NOTTINGHAM

- 3000 researchers
- 4000 research publications p.a.
- open access fund

NEWCASTLE

- 2237 researchers
- 2592 research publications p.a.
AIMS

- Increased compliance
  - advocacy and awareness
  - monitoring and managing publications (inc block grant distribution)
  - value for money
ACTIVITIES

- Baseline policies, workflows, staffing, roles and responsibilities
  - Streamlining and simplifying
- Advocacy workshop
  - Toolkit for library staff, DAs, research administrators
- Monitoring
  - Standards
  - Publishers and systems vendors
- Payments and fund distribution laboratory
  - Costs, open access status, licences
Open Access for REF2020

The new REF open access policy affects all researchers. Take a look at our new guidance, and follow the simple steps to make sure that your research is eligible for REF submission.
Open Access

Open Access makes research freely available on the internet to anyone with an interest in your work, increasing usage and raising your profile.

- Raising your profile
- Nottingham ePrints
- Mandates and funding
- Open Nottingham
- Manuscripts and Special Collections
- Find out more

"Open Access has the potential to make scientific communication more efficient and effective, creating benefits for researchers, universities and society in general. Open Access also means that outputs can make a greater impact in the research community and beyond." Stephen Pinfield, Senior Lecturer, University of Sheffield Information School

Raising your profile

Did you know that Open Access can significantly raise your profile by removing the barriers to accessing information and making knowledge freely available to all?

- Open Access can increase the number of readers and citations to the article.

Case Study

Ray Frost, a chemist at Queensland University of Technology in Australia deposited around 300 of his papers in his institutional repository.

Data from the Web of Science shows that from 2000 to 2003, citations to his papers were averaging about 300 per year. When Frost started putting his articles into the institutional repository, citations increased, with the latest count being 1200 in one year.
What is Open Access?

Open access publishing provides online access to scholarly research which is:

- **Free** - the end user does not have to pay any subscriptions or fees to read the full text
- **Unrestricted** - the reuse permissions allow the author and the end user to make full and free use of the material: they can view, download, print, copy, share and create derivative works from the material, as long as they credit the original author.

Open access material is more frequently published as peer-reviewed journal articles and conference papers, but can also include monographs, book chapters, theses and research data.

This site will:

- Help you to decide if you need or want to make your research publications open access
- Show you the different routes you can choose to make your work open access
- Provide contact details in case you need further help or advice

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I’ve recently become a bit more savvy with actively promoting my papers more via social media. Open Access is great for this as I know that wherever a tweet gets to, anyone seeing it will be able to open a link to an Open Access paper.
## Advocacy activities

<table>
<thead>
<tr>
<th>Stakeholders</th>
<th>Methods particular to these stakeholders</th>
<th>Lead</th>
<th>Target date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Deans &amp; Vice-Deans</td>
<td>Visits by Director of LS, OA Funding Manager, Records Manager</td>
<td>PA</td>
<td>1 meeting per month; complete by 30/06/14</td>
</tr>
<tr>
<td>Research Committees</td>
<td>Visits by Director of LS, OA Funding Manager, Records Manager</td>
<td>PA</td>
<td>1 meeting per month; complete by 30/06/14</td>
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<tr>
<td>Vice Deans (Research)</td>
<td>Discussions with OA Funding Manager</td>
<td>CS</td>
<td>By 20/09/13</td>
</tr>
<tr>
<td>School Research Facilitators</td>
<td>Discussions with OA Funding Manager</td>
<td>CS</td>
<td>By 20/09/13</td>
</tr>
<tr>
<td>Faculty communications contacts</td>
<td>Discussions with OA Funding Manager</td>
<td>CS</td>
<td>By 20/09/13</td>
</tr>
</tbody>
</table>
| Heads of Dept., Institute, Centre | 1. HoD forum/meeting  
2. Workshops & roadshows as for authors  
3. OVPR Exchange e-mails to HoDs  
4. UCL conference, subject-specific OA events | CS | 1. TBC  
2. By 30/11/13  
3. May 2013  
4. 25/10/13 |
| PIs | 1. Liaise with Research Services about existing communication with PIs  
2. Contact all RCUK PIs (current & former grants) personally re. funder’s policy  
3. Liaise with Wellcome Trust, RCUK, ARUK, BHF, CRUK, DH / NIHR, EC, ERC etc. | CS, Compliance Officer | 1. By 30/10/13  
2. Begin November 2013; complete by 30/03/14  
3. RCUK by 31 March 2013 |
| Authors | 1. Workshops and roadshows  
- departments / units  
- specific sessions for Early Career Researchers  
2. Guides and publicity materials  
- Guide v 1.1  
- Guide v 2  
3. Open Access Week:  
- UCL OA Conference (25/10/13)  
- UCL OA Conference (22/10/14)  
- Competition  
4. The Week@UCL / UCL Media Relations  
5. Social media  
6. Webpages  
- videos by UCL Creative Media Services (11 academics being filmed; OA Team talking heads)  
- webpages review | CS, Compliance Officer, EM, subject librarians | 1. Ongoing  
2. v 2 by 22/09/14  
3. By 30/10/13  
4. Ongoing  
5. Ongoing  
6. By 22/09/14 |

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<table>
<thead>
<tr>
<th>Date</th>
<th>Event</th>
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<tbody>
<tr>
<td>15/10/13</td>
<td>Medical Physics &amp; Bioengineering</td>
</tr>
<tr>
<td>16/10/13</td>
<td>Institute for Women’s Health</td>
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<tr>
<td>23/10/13</td>
<td>Royal Free</td>
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<tr>
<td>25/10/13</td>
<td>UCL Open Access Forum</td>
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<tr>
<td>07/11/13</td>
<td>School of Pharmacy</td>
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<td>13/11/13</td>
<td>Dementia Research Centre</td>
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<td>13/11/13</td>
<td>Statistical Science</td>
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<td>13/11/13</td>
<td>Unit of Mental Health Sciences</td>
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<tr>
<td>14/11/13</td>
<td>DAs' Forum</td>
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<tr>
<td>27/11/13</td>
<td>Laws</td>
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<tr>
<td>02/12/13</td>
<td>MRC Clinical Trials Unit</td>
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<tr>
<td>04/12/13</td>
<td>Institute of Epidemiology &amp; Health Care</td>
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<tr>
<td>08/01/14</td>
<td>Centre for Medical Image Computing</td>
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<tr>
<td>15/01/14</td>
<td>Research Dept. of Primary Care &amp; Population Health</td>
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<tr>
<td>22/01/14</td>
<td>electronic and electrical engineering</td>
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<tr>
<td>03/02/14</td>
<td>MAPS</td>
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<tr>
<td>11/02/14</td>
<td>Centre for HIV and Sexual Health Research</td>
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<td>12/02/14</td>
<td>Chemical Engineering</td>
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<tr>
<td>03/03/14</td>
<td>SELCS</td>
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<tr>
<td>12/03/14</td>
<td>Science and Technology Studies</td>
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<tr>
<td>31/03/14</td>
<td>Bartlett</td>
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<tr>
<td>08/04/14</td>
<td>UCL Clinical Trials Unit</td>
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<tr>
<td>09/04/14</td>
<td>Structural &amp; Molecular Biology</td>
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<tr>
<td>10/04/14</td>
<td>Centre for Paediatric Epidemiology and Biostatistics</td>
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Staffing

- Advocacy
- Publisher negotiation
- Budgets & management reporting

Open Access Funding Manager

- Open Access Compliance Officer – fixed term
  - Funder & UCL compliance
  - Advocacy

Open Access Funding Assistant

- Open Access Funding Assistant – fixed term
  - Author advice
  - Payments
  - Prepayment checks
Managing publications and payments
Laboratory

Open Access Main Entry

ID 2196
Date completed (paid / deposited) 29/01/2014

Prepayments checked against publisher report □
Licence □

Open access? ✔

Gold / Green / Gold but not paid by UCL Gold
Gold payment type Invoice
Payment/deposit stage Finalised
Retroconversion?

Invoice filename Bush_W1210502
PO number 1221509
Date received and sent to apinvoices 14/01/2014
iExpenses number
IDT number

Payment/Deposit note

Paper/chapter title What do Grid Cells Contribute to Place Cell Firing?
Publisher Elsevier
Type of research output Journal paper

Journal title (journals only) Trends in Neurosciences
DOI

Date added 13/01/2014
General notes Article not published with CC BY licence. Contacted Cell Press to rectify.

Staff initials CS