

Jisc APC and Jisc Monitor Jisc OA Good Practice Workshop, 17th June 2014

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- **Open Access in the UK**
 - Finch Report and recommendations
<http://www.researchinfonet.org/publish/finch/>
 - Government /Research Councils UK (RCUK) mandate
 - <http://www.rcuk.ac.uk/research/outputs/>
- **Research Information Network (RIN) report**
 - <http://www.researchinfonet.org/wp-content/uploads/2012/11/APC-report-as-published.pdf>
- **Jisc Collections**
 - Trialling a solution for the management of APCs and researching key issues

APCs

Systems and processes

APC administration platform supporting management of APCs and an investigation of key issues

Information sharing

Role of 3rd party provider

- › Compliance and reporting requirements
- › Workflows and processes still being established
- › Managing communications
- › Infrastructures not yet in place
- › Standards - lack of/not fully adopted



- » Level of APCs
- » Publisher engagement
- » Financial transactions
- » Data flow and exchange with other key systems
- » Value of an intermediary service



- › Institutional support requirements and role for Jisc
 - Monitoring Gold and Green publications
 - Compliance
 - Standards development and implementation and best practice

- › Intermediary services and APC management
 - Limited appetite for a Jisc managed solution at this point in time
 - BUT need to support development of standards and best practice in this area

- › Due by August 2014
- › Case studies and analysis
- › Best practice guidelines
- › Data on APCs
- › Pilot evaluation and report
- › <https://www.jisc-collections.ac.uk/Jisc-APC-project/>



- » Jisc APC identified key challenges in management of OA
- » Reflecting and building on research outputs of Jisc APC
- » HEFCE policy will mandate open access availability for outputs accepted for publication after 1 April 2016 and submitted to the post -2014 REF
- » Exploring development of services to help universities monitor publication activity, comply with funder OA policies and monitor associated expenditure on publication charges

- › Functioning prototypes mapped to 4 use cases and released as free and open source software by May 2015
- › Supported by robust user feedback and an assessment of the opportunities, challenges and dependencies that are likely to impact development
- › Recommendations for further development of components

Monitoring all publication activity to ensure compliance with funder mandates

Monitoring all publication activity to ensure a clear understanding of what has been published

Jisc Monitor use cases

Standards development to enable efficient data exchange

Monitoring spend on all items

Jisc Monitor development



Managed by Jisc Collections, developed by Mimas utilising skills and expertise of Sero Consulting, Cottage Labs and Knowledge Integration

- » Collaboration is key
- » User-centred development
- » Agile approach
- » Open-source



- » Institutions need:
 - › Clarity around funder reporting requirements
 - › Confirmation that research outputs meet funder compliance requirements

Monitoring all publication activity to ensure compliance with funder mandates

Use case 2: monitoring publication activity

- » Institutions need:
 - › To capture all publication activity but data can be in multiple places
 - › An understanding of all publication output to support compliance

Monitoring all publication activity to ensure a clear understanding of what has been published

- » Institutions need:
 - › To monitor spend on both OA charges and subscriptions
 - › Invoices and payment details provided in a standardised and consistent way for accurate capture and recording of information



Monitoring spend on all items

Use case 4: standards development

- » Institutions, publishers and funders need:
 - › Standards and protocols to enable efficient data exchange and re-use, avoiding duplication of effort
 - › Solutions that support a global rather than UK specific audience

Standards development to enable efficient data exchange

- » Jisc Monitor starts May 2014
- » User consultation and requirements gathering
- » Funder compliance and publication activity user testing from September 2014
- » Systems interoperability and publication charge user testing later in the year



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